

Successful Grant Compliance Simplified

CVI Grantee Summit 2024

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CVSSD's View of Compliance



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Types of compliance



NONPROFIT COMPLIANCE



GRANT COMPLIANCE

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Keys to nonprofit compliance

Maintain active state and federal registrations

Establish and follow bylaws

Keep the Board of Directors active and engaged

Stay on top of budgeting, financial management, and internal controls

Keep policies and procedures current

File paperwork on time

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Keys to grant compliance

Understand and adhere to the terms and conditions of the grant award

Manage spending according to the grant budget

Make sure program activities are allowed and reasonable; carry out program activities as promised

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Keys to grant compliance

Submit timely and accurate financial and programmatic reports

Maintain required documentation and records that support the grant funded activities

Communicate with and engage staff, grant partners, and funders

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Monitoring and Compliance

CVSSD's purpose for monitoring is to provide reasonable assurance that the activities which are funded comply with the laws, regulations, and provisions of the award **and** that the required performance goals are being achieved.

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Let's talk about...

Who at CVSSD is responsible for monitoring and evaluation of its award recipients

What CVSSD does to resolve deficiencies or areas of non-compliance

Why CVSSD is required to monitor its awards for compliance

When CVSSD conducts its monitoring process

How CVSSD performs its monitoring activities to ensure compliance

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DOJ CVSSD Subrecipients

DOJ CVSSD Grant Management Section


DOJ Charitable Activities Section

DOJ Criminal Justice Division




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Authority




FEDERAL




STATE

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Evaluation



Requests for applications



Grant award terms and conditions



Financial and progress reports

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Determine level of risk by measuring...



- Financial stability
- Management systems
- Prior performance
- Audit reports

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Examples of Risk



LOW RISK

Strong financial
internal controls
Long history of
compliance
Small subaward

MODERATE RISK

Some late reports
Moderate to
large award
Not monitored
recently

HIGH RISK

New subgrantee
History of non-
compliance
Concerns about
performance data

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Monitoring Areas of Focus



FINANCIAL ADMINISTRATIVE PROGRAMMATIC

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CVSSD Monitoring Practices

-  Financial verification reviews
-  Financial and administrative desk reviews
-  In person / on site visits
-  Surveys of community partners

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Resolving Areas of Non- Compliance

Training and technical assistance

Review and verify

Special conditions

Enforcement

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Non- compliance remedies



More detailed financial or programmatic reporting

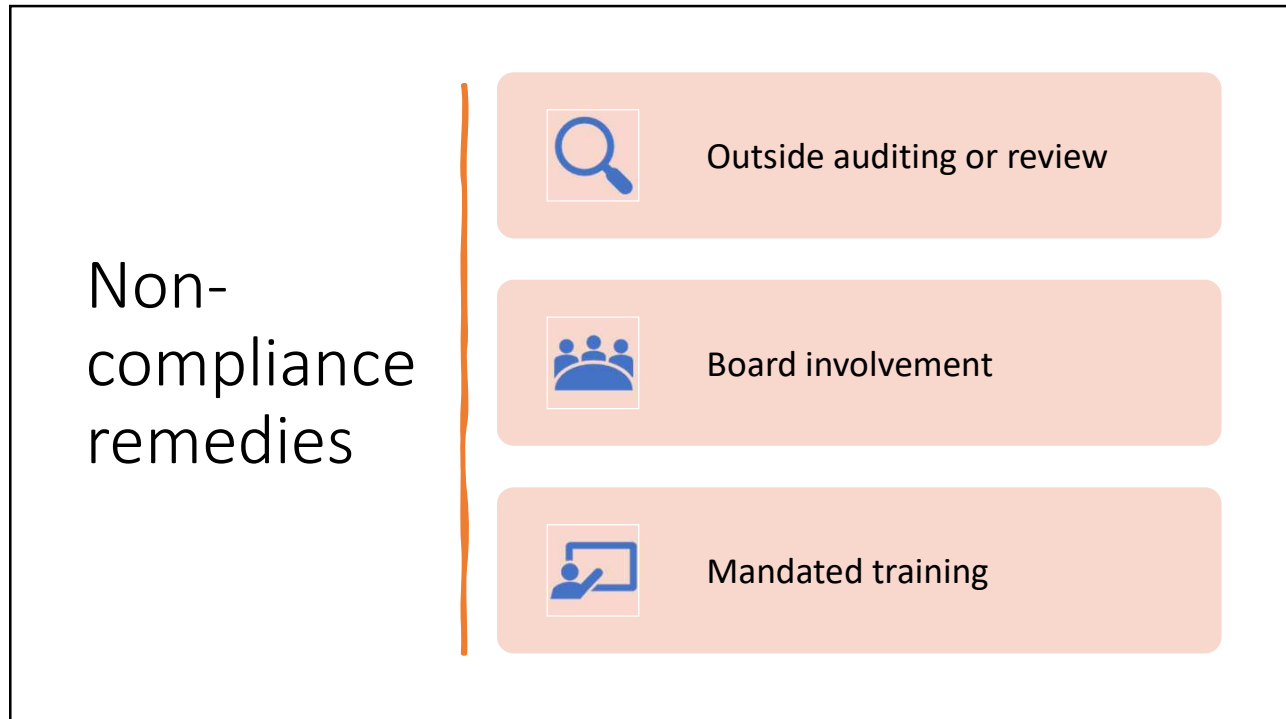


Financial or programmatic reporting at a greater frequency

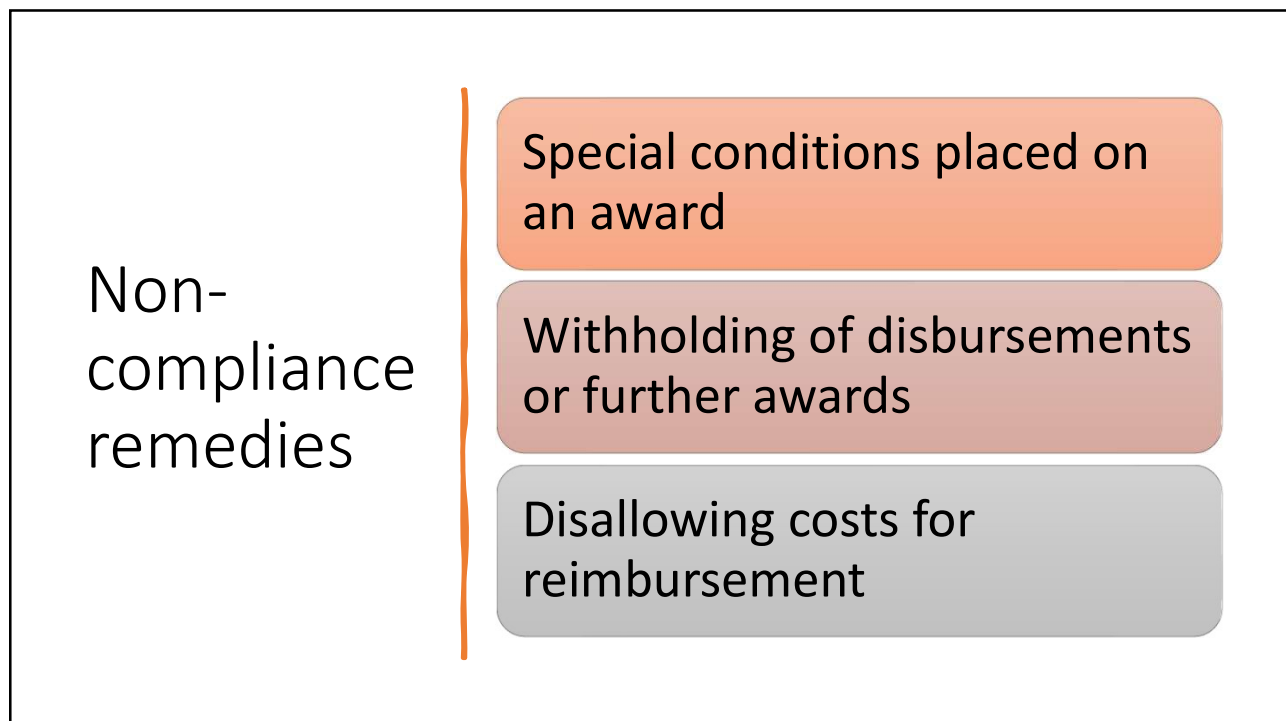


Provide more documentation with reimbursement requests

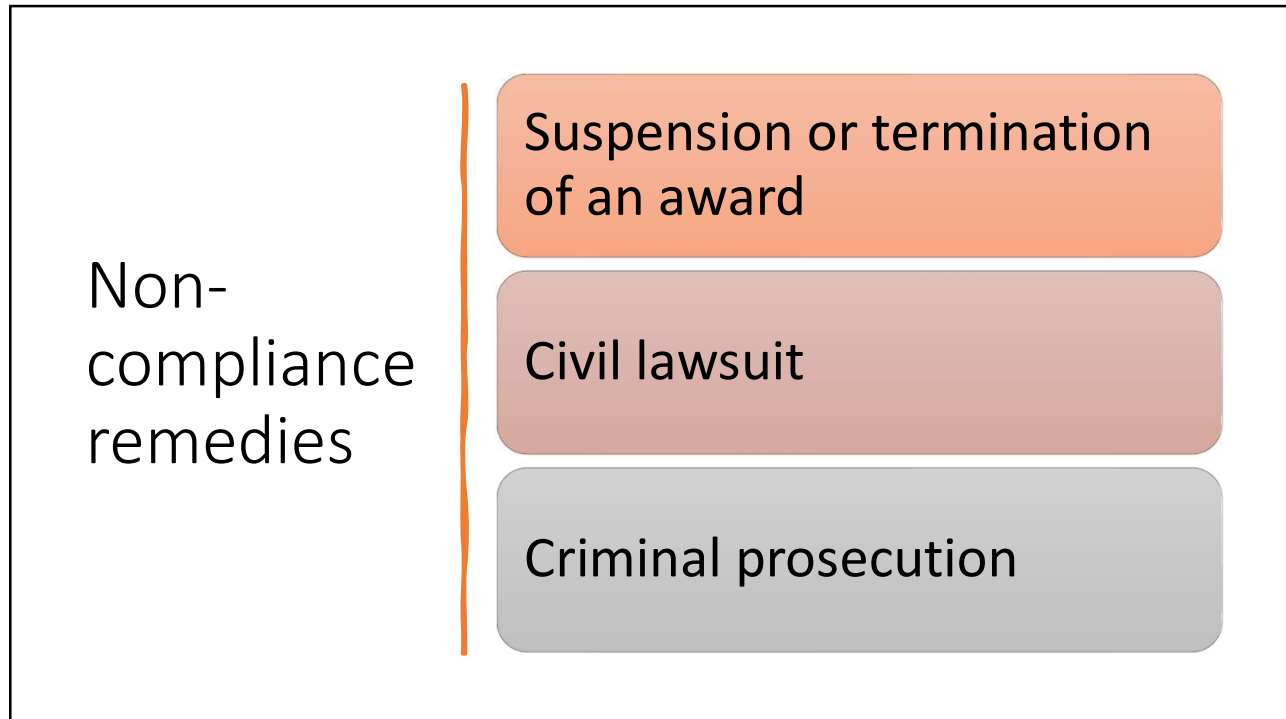
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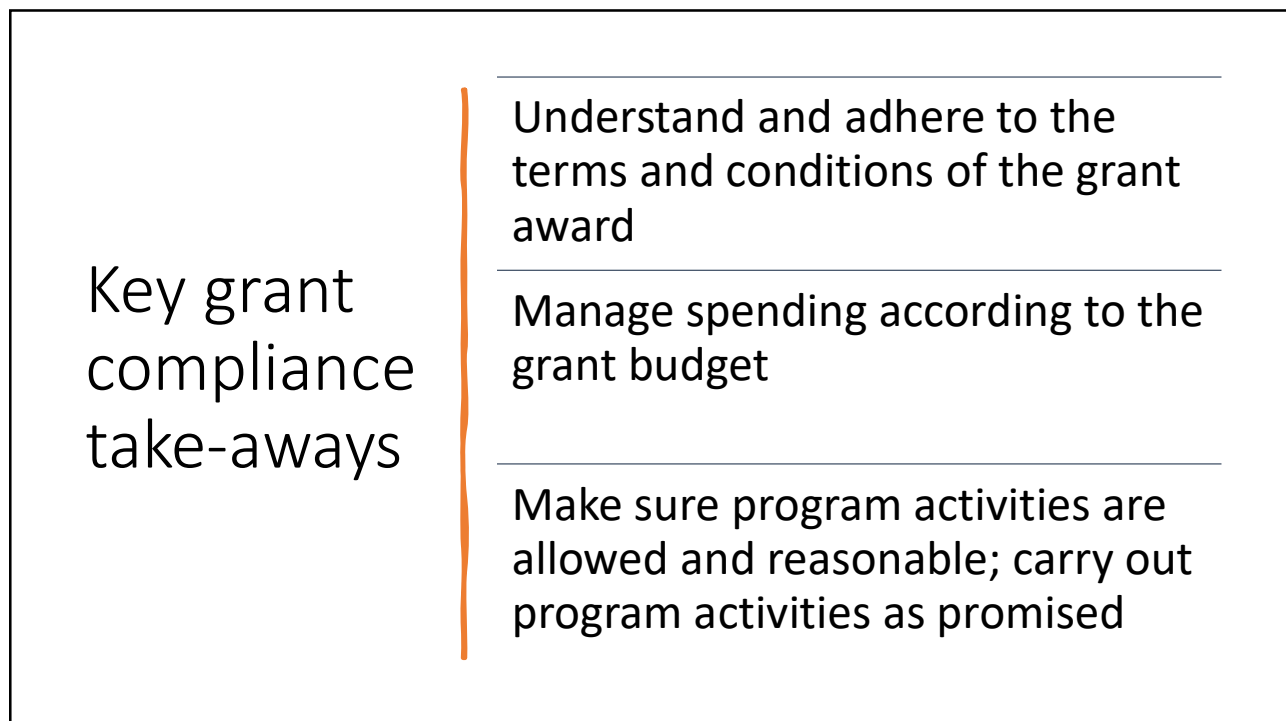
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Key grant compliance take-aways

Submit timely, accurate financial and programmatic reports

Maintain required documentation and records that support the grant funded activities

Communicate with and engage staff, grant partners, and funders

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Thank you!

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